

Order Time	
Recording Phone No.	

## Fund Redemption Form

### 基金贖回表格

請將已填妥的表格郵寄至香港九龍灣宏泰道 23 號 Manhattan Place 22 樓客戶服務部。 Please return your duly signed written form by mail to **Client Services Department, 22/F, Manhattan Place, 23 Wang Tai Road, Kowloon Bay, Hong Kong.**

#### 1. Client Particulars 客戶資料

Client Name: 客戶姓名:		CSL* Account No.: 時富*帳戶號碼:	
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\* Celestial Securities Limited \* 時富證券有限公司

#### 2. Redemption Instruction 贖回指示 “✓” where appropriate 請在適當的方格內加上“✓”

Please redeem the fund(s) below on my/our behalf: 請代本人/吾等贖回以下基金:

ISIN Code / Product Code* ISIN 代碼 / 產品代碼*	Name of the Fund 基金名稱	Currency 貨幣	Redemption Amount 贖回金額		Redemption Unit(s) 贖回單位
				Or 或	

\* If any back-end load fund is subscribed, the fees payable within this investment will be deducted from redemption proceeds of the fund, including the service fee for financial advisor.

\* 投資於任何贖回收費式/末端費用式基金，所有涉及投資的相關費用(包括財務顧問的服務費)會在該基金贖回時扣除。

\* The final price of the fund and the dealing date will be subject to confirmation of the fund house.

\* 基金之最後價格及交易生效日期以基金公司之確認為準。

#### 3. Declaration and Acknowledgement 聲明及確認

I/We hereby authorize Celestial Securities Limited (hereinafter as “CSL”) to perform, on my/our behalf and in my/our names(s), the actions and matters set out in this instruction form.  
本人/吾等謹此授權時富證券有限公司(下稱“時富”)代表本人/吾等及以本人/吾等之名義執行於本指示列明之行為及事項。

I/We confirm that the information and instruction provided on this form are accurate, true and complete. I/We agree to indemnify CSL for any losses, damages, costs, expenses or claims which CSL may suffer arising from CSL’s reliance on any of the information I/we have given.  
本人/吾等確認此表格中所提供的資料及指示為準確，真實及完整，並同意就所有時富因依賴本人/吾等提供之資料而蒙受之損失、損害、成本、費用或索賠作出彌償。

I/We declare that the investment decision is made by me/us. I/We understand that investment involves risk. Past performance is no guide to future performance. I/We understand that the price of funds and the income from them can fluctuate and are not guaranteed, it may incur losses and even become valueless. I/We declare and confirm that the Sales Representative has conducted a risk profile assessment with me/us, and I/we have been provided with the prospectus, offering circular or other relevant terms and conditions of the product that I/we am/are applying for. I/We have read and understand the relevant documents or consulted Independent/Professional Advisors for further details and other risk factors before making any investment decision as I/we deem necessary. I/We have been explained and fully understand the key features and risks of the products, and hereby confirm to purchase the subject product.

本人/吾等聲明此乃本人之投資決定。本人/吾等明白投資涉及風險。過往表現不可視作未來表現的指標。本人/吾等明白基金的價格及自基金所獲取的收益可升亦可跌，投資可能會招致虧損，甚至變成毫無價值。本人/吾等聲明及確認有關營業代表已為本人進行風險取向審核，並已向本人/吾等提供現認購產品之發行章程、發行說明書、產品資料或其他相關之銷售條款及細則，並向本人/吾等解釋產品之特性及風險。本人/吾等已詳閱有關之文件，或於作出投資決定前就本人/吾等認為有需要之進一步資料及其他風險因素徵詢獨立/專業意見。本人/吾等確認明白產品之特性及風險及決定購買有關產品。

I/We understand and agree that the features and risk rating of the product match with my/our investment objective, risk tolerance level, investment horizon, investment budget and investment experience as described in the Client Risk Profile Questionnaire/ Professional Investor Assessment Questionnaire. The product is suitable for me/us.

本人/吾等明白及同意根據客人風險取向問卷或專業投資者評估問卷，此產品之特性及風險與本人/吾等投資目標、風險承受能力、投資年期、投資預算及投資經驗相符。此產品適合本人/吾等。

I/We agree and acknowledge that the value of the investment(s) may go up and down. By instructing CSL to make investments on my/our behalf, I/we am/are prepared to accept and am/are responsible solely for this risk, and CSL shall be discharged from the liability whatsoever to me/us for any loss I/we may suffer as the result of the investment performance.

本人/吾等同意及確認投資之價值可升可跌。指示時富代本人/吾等作出投資，本人/吾等接受及將獨自承擔此風險，時富將不就任何因投資表現而令本人/吾等蒙受之損失負責。

In any transaction involving foreign currency conversion, I/we agree to adhere to the foreign exchange rates determined by CSL and/or its counter-parties.

有關任何涉及外幣兌換之交易，本人/吾等接受時富與其另一方決定之外幣兌換率。

Client Signature:  
客戶簽署:\_\_\_\_\_

Date:  
日期:\_\_\_\_\_

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To Be Completed by Celestial Securities Limited's Licensed Representative 由時富證券有限公司持牌代表完成		
<p>I confirm that I have verbally invited in Chinese or English as preferred by the applicant(s) to read the above sales related information, explain Risk Disclosure Statement and invite the applicant(s) to ask questions about the content of such information and, if needed, seek independent advice.</p> <p>本人確認已以口頭方式邀請申請人按其所選擇的語言（中文或英文）閱讀上述銷售相關資料、向申請人解釋有關風險披露聲明及邀請申請人就該等資料的內容提出問題並在有需要時徵求獨立意見。</p>		
Signature of Licensed Representative 持牌人簽署	Date and Time 日期及時間	Extension 內線
Name of the licensed representative 持牌人姓名	CE Number of the licensed representative 中央編號	

For official use only 僅供職員填寫			
Handled by	Verified by	Checked by	Approved by
Name	Name	Name	Name
Date	Date	Date	Date